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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/735,908	12/16/2003	Seung-Do Han	P24708	3880
7055	7590	09/19/2005	EXAMINER	
GREENBLUM & BERNSTEIN, P.L.C. 1950 ROLAND CLARKE PLACE RESTON, VA 20191			NGUYEN, TRAN N	
			ART UNIT	PAPER NUMBER
			2834	

DATE MAILED: 09/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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<b>Office Action Summary</b>	<b>Application No.</b> 10/735,908	<b>Applicant(s)</b> HAN ET AL.	
	<b>Examiner</b> Tran N. Nguyen	<b>Art Unit</b> 2834	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on 10 August 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1 and 10-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 10-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Priority*

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. **Claim 23** is rejected under 35 U.S.C. 102(b) as being fully anticipated by **Ivanics (US 4,745,318)**.

**Ivanics** discloses a single induction motor (figs 1, 3-4) comprising:

a stator (2) installed at an inner circumferential surface of a motor body (1), wherein the stator on which a plurality of coils (3) are wound;

a rotor (4) rotatably installed at a center portion of the stator and provided with a rotation shaft (10) at a center thereof, and

a ring magnet unit (6) freely and rotatably installed between the stator and the rotor with a first air gap from the stator (4) and a second air gap from the rotor (figs 1, 3-4).

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United

Art Unit: 2834

States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claim 23** is rejected under 35 U.S.C. 102(e) as being fully anticipated by **Klode (US 6703740)**.

**Klode** discloses a single induction motor (figs 2-4) comprising:

a stator (20) installed at an inner circumferential surface of a motor body, wherein the stator on which a plurality of coils are wound (fig 2);

a rotor (42) rotatably installed at a center portion of the stator and provided with a rotation shaft (30) at a center thereof, and

a ring magnet unit (40) freely and rotatably installed between the stator and the rotor with a first air gap from the stator and a second air gap from the rotor (figs 2-3).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2834

4. **Claim 1** is rejected under 35 U.S.C. 103(a) as being unpatentable over either **Ivanics** or **Klode** in view of **DE 19548117**.

**Ivanics** or **Klode** substantially discloses the claimed invention, except for the limitations of the magnet unit having a back yoke, and the magnets attached to an outer circumferential surface of the back yoke.

**DE 19548117**, however, teaches a rotary magnet unit having a back yoke (112), and the magnets (113) attached to an outer circumferential surface of the back yoke (112) (Fig10). The back yoke serving two purposes: (1) provide mechanical support for the magnet for increasing structural integrity, and (2) acting as magnetic return means for the magnet to enhance the magnetic characteristics of the magnet unit related to the rotor and the stator. Furthermore, magnet unit having backyoke for mechanical and magnetical supports are well known in the art.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by provide the magnet unit with a back yoke, as taught by **DE 19548117**.

Doing so would provides means not only for mechanical support but also for magnetical support thereof.

5. **Claims 10-12** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Ivanics** (or **Klode**) and **DE 19548117** in view of **Elliott et al (US 4,694,210)**.

**The combination of Ivanics (or Klode) and DE 19548117** discloses the claimed invention, particularly the Ivanics' magnet unit is a cylindrical ring magnet (6) located between the stator (2) and the rotor (4),

a supporter (7) of non-magnetic substance is coupled to both ends of the ring magnet, for supporting the ring magnet, and

a bearing (8, 12), particularly needle bearing, which is an oil-less bearing, is fitted into a center of the supporter (7) so as to be rotatably coupled to an outer circumferential surface of the rotation shaft.

Art Unit: 2834

**Ivanics'** Figs 1 and 3-4 show the bearing (8, 12) fitted into the center of the support without any additional fastening component to secure the bearing therebetween. *Therefore, Ivanics does not clear disclose that the bearing is press-fit fitted into a center of the supporter so as to be rotatably coupled to an outer circumferential surface of the rotation shaft.*

**Elliot**, however, teaches a support structure having bearing (104, 106) press-fit into the supporter and snugly secured within the supporter to abut outer circumferential surface of the rotation shaft. Bearings press-fit between two components for supporting rotation are well known in the art. Those skilled in the art would realize that by press-fitting the bearing therebetween the supporter and the shaft, one can provide a mechanical orientation of the rotor and the shaft, as well as the bearing for an easy to assemble motor design with a minimal number of part counts and eliminate additional fastening means to abut the bearing therebetween the two structure.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by provide the bearing as press-fit bearing, as taught by Elliot. Doing so would provide a mechanical abutment among the supporter, the shaft and the bearing for an easy to assemble motor design with a minimal number of part counts and eliminate additional fastening means to abut the bearing therebetween the two structure.

*Regarding claim 12 recites the backyoke is nonmagnetic*, it would have been obvious to one having ordinary skill in the art at the time the invention was made to select nonmagnetic material for the backyoke in order to provide mechanical support for the magnet only while obtaining less-weight and less-cost for the magnet unit. I it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin, 125 USPQ 416.*

6. **Claims 10-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Ivanics (or Klode)** and DE 19548117, as applied in the rejection against the base claim, and in view of Shiga et al et al (US 6, 093,984).

Art Unit: 2834

The combination of the above prior art refs substantially discloses the claimed invention, except for the added limitations of the above listed claims.

***Regarding claims 10-11, and 13, Shiga***, however, teaches a rotor magnet unit (figs 1-2) comprising:

a soft magnetic back yoke (36) in the rotor;

a plurality of permanent magnets (38) attached to an circumferential surface of the back yoke (36);

a supporter (29) is integrally injection-molded at one side of the ring magnet in order to couple to one end of the back yoke (7) so as to support the back yoke.

**Shiga** teaches that the rotor magnet unit structure would be more reliable, and a simple and economical connection between the permanent magnet ring and the plastic support element without the magnet cracking; and that a long service life and good startup properties are guaranteed. In addition, a further object of the invention is also to guarantee that the mounting of the rotor is easy to produce and that it enables easy installation of the rotor into the housing of the electric motor and guarantees quieter operation.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the rotor with molded supporter, a magnetic back yoke, and plural magnets formed into a cylindrical ring, wherein the supporter is integrally molded to one end of the magnet ring, as taught by Shiga. Doing so would provide the motor with a rotor that would be more reliable and quieter operation.

***Regarding the supporter is integrally injection-molded at both sides of the ring magnet, as in claim 14***, Ivanics discloses that the magnet ring is supported at both sides thereof by supporter (7). Shiga teaches that the supporter can be integrally injection-molded to the magnet ring for ensuring firmly mechanical support and structurally reliable.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring both end-side supporters as integrally injection-molded structure with the magnet, as taught by Shiga. Doing so would ensuring firmly mechanical support and structurally reliable of the magnet unit.

***Regarding the back yoke is set as 0.2-0.6 mm, as in claim 15***, Shiga teaches a back yoke but silence about the thickness thereof. Those skilled in the art would understand that in order to ensure that the back yoke sufficiently provide both mechanically and magnetically supports the magnet ring, the width size of the back yoke is selected based upon the size of the magnet ring. Doing so would be a matter of obvious engineering design that requires only necessary skills. Evidently, as the claimed language points out, it is preferably set to be in the recited range.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the back yoke having the thickness as in the recited range of 0.2-0.6 mm. Doing so would ensure that the back yoke would sufficiently both mechanically and magnetically support the magnet ring. Furthermore, it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art (*In re Aller*, 105 USPQ 233).



Art Unit: 2834

7. **Claims 16-21** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Ivanics or (Klode)** and **DE 19548117**, as applied in the rejection against the base claim, and in view of **Bernreuther et al (US PgPub 2003/0,168,925)**.

The combination of **Ivanics** and **Elliott** refs substantially discloses the claimed invention, except for the added limitations of the above listed claims.

*Regarding claims 16-17, 19, and 20-21*, for the purpose of providing a rotor that is noise reduced, reliable increased, and construction simplified without the magnet cracking, **Bernreuther**, teaches a rotor structure (fig 1a, 1c-d, and 2) with magnet unit (5) having molded supporter (8) incorporated support the magnet of the rotor by molding (22); wherein molded supporter (8) is integrally injection-molded at both side of the ring magnet. Also, as shown in figs 1b and 2, the rotor has a single cylindrical magnet having a curvature arranged in the molding towards a circumference direction.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the magnet unit with integrally injection-molded supporter at both side of the ring magnet incorporated support the magnet of the rotor by molding, as taught by **Bernreuther**. Doing so would provide the rotor with a magnet unit that would be more reliable, quieter, and simpler.

*Regarding claim 18*, while **Ivanics** discloses the supporters are at both sides of the magnet ring, those skilled in the art would realize that this is a matter of determine the level of support that the magnet ring requires. For example, **Shiga**, as discussed in previous section, shows the supporter as an injection-molded structure at one side of the molding still sufficiently provide support for the magnet ring. This is a matter of obvious engineering design choice to provide one side support or both sides support for the magnet ring based upon the size and weight of the magnet ring. As for one side support, this would reduce the overall size of the magnet unit and simplify the manufacturing process.

Art Unit: 2834

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the supporter as an injection-molded structure at one side of the molding. Doing so would reduce the overall size of the magnet unit and simplify the manufacturing process while equivalently provide the mechanical support for the magnet ring.

8. **Claim 22** is rejected under 35 U.S.C. 103(a) as being unpatentable over either **Ivanics** or **Klode** in view of **Kumakura (USP 4227105)**.

**Ivanics** or **Klode** substantially discloses the claimed invention, except for the limitations of the magnet unit having a back yoke, and the magnets attached to an outer circumferential surface of the back yoke.

**Kamakura**, however, teaches a rotary magnet unit having a molding (41), and the magnets (29) formed inside the molding (41), wherein the molding (41) completely surrounding each magnet. The molding serves the purpose of providing mechanical support for the magnet for increasing structural integrity.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by provide the magnet unit with a molding surrounding thereof, as taught by **Kamakura**. Doing so would provide mechanical means to support the magnets during the magnet unit in rotation.

9. **Claims 24-31** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Ivanics** or (**Klode**), as applied in the rejection against the base claim, and in view of **Bernreuther et al (US PgPub 2003/0,168,925)**.

The **Ivanics** or **Klode** ref substantially discloses the claimed invention, except for the added limitations of the above listed claims.

Art Unit: 2834

***Regarding the molding supporter,*** for the purpose of providing a rotor that is noise reduced, reliable increased, and construction simplified without the magnet cracking, **Bernreuther**, teaches a rotor structure (fig 1a, 1c-d, and 2) with magnet unit (5) having molded supporter (8) incorporated support the magnet of the rotor by molding (22); wherein molded supporter (8) is integrally injection-molded at both side of the ring magnet. Also, as shown in figs 1b and 2, the rotor has a single cylindrical magnet having a curvature arranged in the molding towards a circumference direction.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the magnet unit with integrally injection-molded supporter at both side of the ring magnet incorporated support the magnet of the rotor by molding, as taught by **Bernreuther**. Doing so would provide the rotor with a magnet unit that would be more reliable, quieter, and simpler.

Also, while Ivanics or Klode discloses the supporters are at both sides of the magnet ring, those skilled in the art would realize that this is a matter of determine the level of support that the magnet ring requires. For example, Shiga, as discussed in previous section, shows the supporter as an injection-molded structure at one side of the molding still sufficiently provide support for the magnet ring. This is a matter of obvious engineering design choice to provide one side support or both sides support for the magnet ring based upon the size and weight of the magnet ring. As for one side support, this would reduce the overall size of the magnet unit and simplify the manufacturing process.

Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the motor by configuring the supporter as an injection-molded structure at one side of the molding. Doing so would reduce the overall size of the magnet unit and simplify the manufacturing process while equivalently provide the mechanical support for the magnet ring.

***Regarding the bearing is either ball bearing type or oilless bearing type,*** it would have been obvious to one having ordinary skill in the art at the time the invention was made to select either ball bearing or oilless bearing types based upon the size of the magnetic unit as well as the rotor units so that the bearing would sufficiently provide rotatably support for the magnetic unit. It has been held to be within the general skill of a worker in the art to select a known material on

Art Unit: 2834

the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

*Regarding the supporter is formed by either nonmagnetic material or same material as the ring magnet*, it would have been obvious to one having ordinary skill in the art at the time the invention was made to select either non-magnetic material or magnetic material that is same as the magnet ring. It has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

*Regarding the ring magnet is a single ring element instead of plural sections combined into a cylindrical ring, as disclosed by the prior art*, it would have been obvious to one having ordinary skill in the art at the time the invention was made to configure the magnet ring as a single magnet element because this would enhance the strength of the magnet to withstand the centrifugal force during the magnet unit in rotation. Also, a change in size or shape is generally recognized as being within the level of ordinary skill in the art. *In re Rose*, 105 USPQ 237 (CCPA 1955) (emphasis added). Furthermore, a single magnet ring having a cylindrical shape is well known in the art.

### **Conclusion**

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

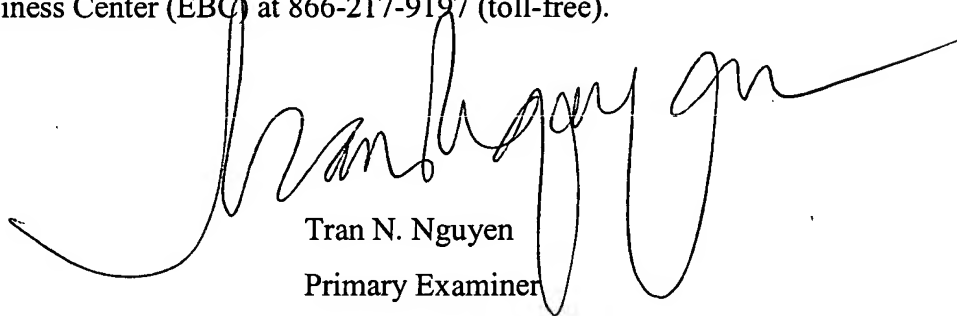
Art Unit: 2834

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tran N. Nguyen whose telephone number is (571) 272-2030. The examiner can normally be reached on M-F 7:00AM-4:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Darren Schuberg can be reached on (571)-272-2044. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Tran N. Nguyen  
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Art Unit 2834